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Date: 6/4/01

By: Delbert H. Brockmeyer



Attorney Docket No.: 7636-0013.10

Applicant: Laus et al.

Serial No.: 09/402,845

Filing Date: April 10, 1998

For: COMPOSITION AND METHOD FOR INDUCING  
AN IMMUNE RESPONSE AGAINST TUMOR-  
RELATED ANTIGENS

Assistant Commissioner for Patents  
Washington, D.C. 20231

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JUN 11 2001

TECH CENTER 1600/2900

Sir:

1. Transmitted herewith are the following:

- ☒ An Amendment Under 37 CFR \$1.111
- ☒ Sequence Listing printout, floppy diskette, matching declaration
- ☐ A Petition for -Month Extension of Time
- ☐ Check in the amount of \$

2. Entity Status

- ☐ Small Entity Status (37 CFR \$1.9 and \$1.27) has been established by a previously submitted Small Entity Statement.

3. Conditional Petition for Extension of Time:

An Extension of Time is requested to provide for timely filing if necessary for timely filing of this transmittal and enclosures.

4. Provisional Fee Authorization

Please charge any underpayment in fees for timely filing of this transmittal and enclosures to Deposit Account No. 04-0531.

Respectfully submitted,

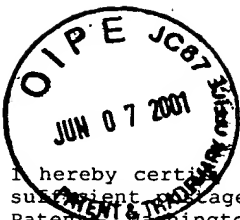
Larry Thrower

Larry Thrower  
Registration No. 47,994

Date: June 4- 2001

Correspondence Address:

Customer No. 22918



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Date:

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By:

*Delvada Brackmeyer*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Laus, et al.

SERIAL No.: 09/402,845

FILED: April 10, 1998

FOR: COMPOSITION AND METHOD FOR  
INDUCING AN IMMUNE RESPONSE  
AGAINST TUMOR-RELATED ANTIGENS

EXAMINER: Ungar

ART UNIT: 1642

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#9  
60

6-1621

Declaration Under 37 CFR §1.821

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Larry Thrower, declare and affirm as follows:

1. I am an agent for the applicant.
2. The floppy disc which accompanies this Declaration contains the required sequence listing.
3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

*Larry Thrower*

Larry Thrower  
Registration No. 47,994

Date:

June 4, 2001

Correspondence Address:

Customer No. 22918



COPY

Application No.: 09/402845

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**